

Switch Letter For Packaged Products

Date _____

I. Client Name _____

II. Is the client new to the firm? _____

III. Moving from _____

IV. Moving to _____

V. Charge for surrender _____

VI. Reason for surrender _____

VII. How long did the client hold the original Investment? _____

VIII. How much did the client pay in commissions for the original investment?

IX. The client is aware of the fees and charges involved and is aware that additional fees and surrender charges may apply to the new investment.

Client Signature _____

Rep # _____

Rep name _____

Rep Signature _____

Reviewed By _____