

**SCOTTSDALE CAPITAL ADVISORS, Member FINRA & SIPC**

**Account Application Agreement Supplement**

ACCOUNT NO. \_\_\_\_\_

Scottsdale Capital Advisors (SCA) has entered into an arrangement with Penson Financial Services (“Clearing Firm”) to provide clearing and execution services to accounts introduced by SCA. SCA may enter into other clearing arrangements with other Clearing Firms for the same purpose. This Account Application Agreement Supplement sets forth additional terms and conditions concerning your relationship with SCA. By signing below you hereby agree to the following:

I have read and understand SCA’s general Disclaimer, including the following referenced disclosures, as applicable to my account: SCA’s Privacy Statement, the Margin Disclosure Statement, Day-Trading Risk Disclosure Statement, Options Risk Disclosure (including a Supplements thereto), Portfolio Margin Acknowledgement, Payment for Order Flow, Order Routing, and Mutual Funds Breakpoint Discounts. The Disclaimer also contains important information about SIPC (including a link to its website). I agree to access the Disclaimer and the above linked disclosures electronically from the homepage of SCA’s website at [www.scottsdalecapital.com](http://www.scottsdalecapital.com) (the “Website”) for updates at least annually or sooner if directed from time to time by SCA.

Investment Adviser Clients. I received and read SCA’s ADV Form Part II found in the Website.

I further agree to pay all costs, fees, expenses, liabilities associated with my account that may occur now or in the future (“Account Fees”). I authorize SCA to deduct any Account Fees due to SCA from my accounts maintained at SCA.

I understand and agree my Clearing Firm account agreement contains a predispute arbitration clause and such clause applies to any dispute between me and SCA, as the introducing broker.

To help the government fight the funding of terrorism & money laundering activities, Federal Law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. What this means to you? When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see a copy of your driver's license or other identifying documents. Clients must provided proof of identification (copy of drivers license, passport, etc.) when opening an account and may be ask to do so again in the future.

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Name

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Signature

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Date

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Name

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Signature

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